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# INTEGRATION OF SOLAR-ASSISTED COOLING SYSTEM AND HEAT PUMPS FOR INDUSTRIAL APPLICATIONS

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**Abstract.** Steam and cooled water are utilities in the industrial sector that can be supplied by renewable energies. In this way, the application of a Solar Energy Assisted Heat Pump (SAHP) and a Solar Energy Assisted Adsorption Cooling System (SAACS) can be exploited to achieve this purpose, respectively. The thermal modeling of these systems was performed using the TRNSYS software, considering a HP operating with R600a and an ACS using silica-gel/water. The study evaluates the impact of condensation temperature ( $T_{cond}$ ) on key performance metrics such as coefficient of performance (COP), solar field size given by the solar collector area ( $A_c$ ) and solar utilization factor (SUF). The results indicate that SUF values decrease with increasing  $A_c$ , HP COP decreases with increasing  $T_{cond}$ , while ACS COP remains constant. The electrical power demands for SAHP and SAACS were 5.57kW and 0.55kW considering heating capacity of 11.58kW and cooling capacity of 6.5kW, respectively. From the environmental point of view, the proposed system proves to be advantageous, reducing the Global Warming Potential (GWP) by 72.2%, 82.4% and 56.2% compared to Liquefied Petroleum Gas (LPG) and firewood boiler combined with a cooling system and a double cascade HP (DCHP), respectively.

**Keywords:** solar energy, heat pumps, adsorption chiller, TRNSYS.

## 1. INTRODUCTION

In industrial processes, steam and cold-water demands are usually supplied by boilers and compression HP, respectively. The boilers' energy source typically comes from fossil fuels such as firewood or Liquefied Petroleum Gas (LPG), which are not environmentally friendly or can be expensive depending on the energy market (IPCC, 2023). On the other hand, a HP uses a vapor compression cycle with refrigerant fluid that must fulfill the requirement of environmental protocols, such as Montreal's protocol for the use of fluorocarbon gases (IDEC, 2022). These characteristics associated with the energy source and technology are barriers to the sustainable development of the generation of process heat in the industry. An alternative to mitigate negative impacts in the generation of process heat is the use of renewable energy sources. At this point, solar energy can be introduced into the energetic matrix reducing the consumption of conventional energy sources.

There are different approaches to produce steam, such as high-temperature heat pumps (HTHP), solar parabolic trough collectors (Saini et al., 2023) and photothermal materials with strong optical absorption (Lin et al., 2019). In particular, HTHP has attracted the attention of the industrial sector due to the possibility of using the waste heat in the range of 60°C to 99°C which corresponds to 56.3% of the estimated total industrial waste heat (Kang, et al., 2017). It is important to observe that the range of temperatures for waste heat matches the temperature range of hot water obtained using low-temperature solar thermal systems, indicating that solar energy can boost HTHP application. Different configurations of HTHP are studied in the literature, such as single-stage, multi-stage system, cascade, and hybrid (Jiang et al, 2022). Between them, the double cascade heat pumps (DCHP) have been shown to be an alternative to generate steam from heat sources at relatively low temperatures (Lu, et al., 2022) (Mateu-Royo, et al., 2021) (Wu, et al., 2021) (Guimarães et al., 2022). It means that coupling solar thermal systems in the intermediate exchanger of a DCHP can be an option to generate steam with low electric energy consumption. On the other hand, cold water can be also obtained using an absorption chiller driven by waste heat (Haywood, et al., 2012) (Dadpour, et al., 2022) (Liu, et al. 2020). As a result, this technology becomes a viable candidate to be powered by solar thermal systems as well.



system is formed by blocks called of *types* which are connected between them to address the information. Table 1 presents a summary of the components of the proposed system and the associated elements used in the simulation tool.

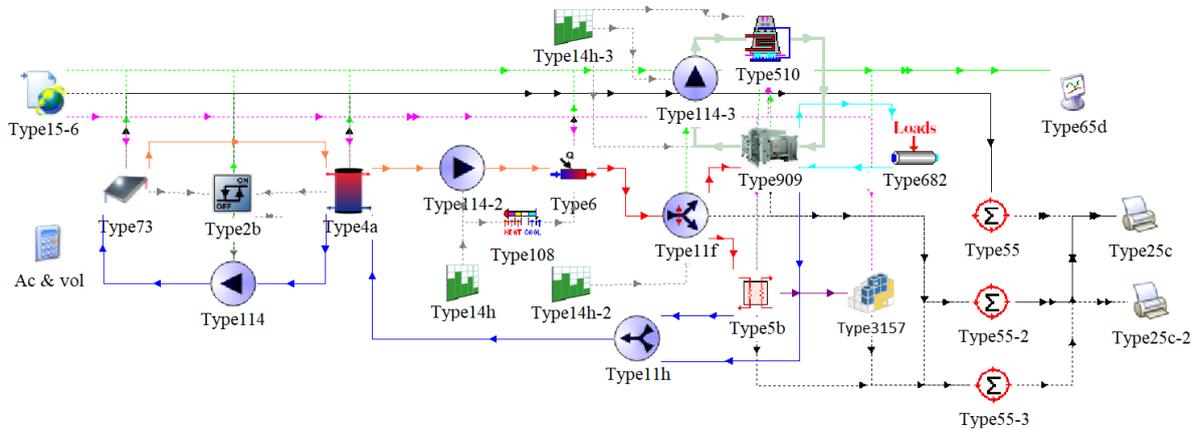


Figure 2. System layout proposed in TRNSYS®.

Table 1. List of the components (*Types*) used in the simulation on TRNSYS® with important parameters and inputs.

System Component	TRNSYS Element	Description
Weather data reading and processing	Type 15-6	Meteonorm® file (TMY2) for the location and conditions of tropical dry savana climate (MinData, 2023).
Flat-plate solar collector	Type 73	Collector efficiency factor 0.68, the loss coefficient for the bottom and edges 5.97 kJ/h.m <sup>2</sup> .K, the slope is 18° and the azimuth angle is 0°.
Water pump	Type 114	Type 114 (recirculation); Type 114-2 (main feed); Type 114-3 (cooling tower circuit). Rated flow rate of 50 kg/h.m <sup>2</sup> , 400 kg/h and 3300 kg/h, respectively.
Controller	Type 2b	The controller will remain off until the monitored temperature falls below the high limit cut-out temperature (80°C).
Storage water tank	Type 4a	Volume of the storage tank is 75liters/m <sup>2</sup> .
Forcing function	Type 14h	Type 14h (whole process: 2h10min); Type 14h-2 (heating process: 1h); Type 14h-3 (cooling process: 40min). Value of the function (0 for OFF and 1 for ON) over the desired period of time.
Auxiliary heater	Type 6	Desired temperature is set at 85°C for the regeneration temperature of silica-gel maximum heating rate is 13 kW.
Thermostat	Type 108	Triggered when the temperature being monitored is below the desired (85°C) is the one at which the auxiliary heating is commanded.
Flow diverter valve	Type 11f	The input control signal defines the position of a damper by controlling the ratio of valve opening to each fluid outlet (0 trigger only the cooling process and 1 trigger only the heating process).
Adsorption chiller	Type 909	The conventional adsorption cooling system using silica-gel/water pair. Rated capacity is 10 kW and chilled water setpoint temperature is 6°C.
Flow stream thermal load	Type 682	Flow stream thermal load to the cooling process 6.5 kW.
Cooling tower	Type 510	For the condenser. Rated fan power is 1.5 kW.
Counter flow heat exchanger	Type 5b	The load side flow rate and the overall heat transfer coefficient of the exchanger are determined according to the integration of compression HP modeling in Python®, of the inlet temperature and the flow rate from the source side.
Compression HP	Type 3157	The component calls a Python® module implemented in a file located in the same directory as the TRNSYS® input file at the runtime (Bernier et. al., 2022). This module represents the modeling of the compression HP system (Guimarães et. al., 2022).
Flow mixer valve	Type 11h	In this work this valve was used to ensure the output of a single flow from two fluid circuits with different inputs and drive times. It can also be used to mix two inlet liquid streams into a single outflow.

Periodic integrator	Type 55	Type 55 (whole process: 2h10min); Type 55-2 (cooling process: 40min); Type 55-3 (heating process: 1h). This component calculates the mean of a series of inputs over a range of time periods specified by the user. In addition, the component calculates the sum of an input over the same specified range time (11:00 AM to 1:10 PM).
Printer	Type 25c	Type 25c (temperature); Type 25c-2 (energy). This component is used to print output variables selected from the system at specified intervals of time.
Online plotter	Type 65d	This component is used to display selected system variables as the simulation progresses. Also, it is highly recommended and widely used since it provides information about the variables and allows users to immediately see if the system is not performing as desired.

## 2.1 Metric of performance

The system's performance was evaluated by performance indicators. The Coefficient of Performance (COP) of the system is defined as the ratio between the useful energy used in the process and the energy employed to perform the process. The COP of the compression heat pump ( $COP_{hp}$ ) and the COP of the adsorption chiller ( $COP_c$ ) were determined during the period of the heating and cooling processes, respectively.

In particular, the  $COP_c$  is defined as the relationship between the cooling load and the sum of the heat absorbed by the generator and the total work performed by the hydraulic pump. However, generally, the electricity consumption of the pump in the adsorption chiller is extremely low and can be overlooked. Therefore, the  $COP_c$  was determined according to Eq. (1) (Bellos et. al., 2016) (Cabrera et. al., 2013):

$$COP_c = \frac{\dot{Q}_{ref}}{\dot{Q}_g + \dot{W}_c} \cong \frac{\dot{Q}_{ref}}{\dot{Q}_g}, \quad (1)$$

where  $\dot{Q}_{ref}$  is the cooling thermal load (kW),  $\dot{Q}_g$  is the amount of heat absorbed by the chiller generator (kW) and  $\dot{W}_c$  is the total work performed by the pump (kW).

The  $COP_{hp}$  is defined as the relationship between the heat released by the condenser and the total work performed by the compressor according to Eq. (2):

$$COP_{hp} = \frac{\dot{Q}_{cond}}{\dot{W}_{hp}}, \quad (2)$$

where  $\dot{Q}_{cond}$  is the amount of heat released by the HP condenser (kW) and  $\dot{W}_{hp}$  is the total work performed by the compressor (kW).

For the solar thermal system, it is verified that the amount of energy delivered by the system is intrinsically dependent on the solar collection area. In this way, the solar fraction ( $F_s$ ) is defined as the relationship between the amount of useful energy provided by the solar system and the total energy required to carry out the entire process (heating + cooling). Then, the solar fraction is defined by Eq. (3):

$$F_s = \frac{\dot{Q}_s}{\dot{Q}_s + \dot{Q}_{aux}}, \quad (3)$$

where  $\dot{Q}_s$  is the amount of useful thermal energy produced by the flat plate solar collector (kW) and  $\dot{Q}_{aux}$  is the heat generated by the auxiliary heater (kW).

For the proposed system, the amount of heat generated by the auxiliary heater is used as energy supplementation to regeneration temperature requirement for the adsorption chiller and also the temperature of the intermediate exchanger of the HP. So, the hot water produced by the flat plate solar collector stored in the thermal accumulation tank serves as preheated water for the adsorption system and the compression heat pump evaporator. Thus, it is verified that the collector can produce an average thermal energy whose value varies with the change in solar irradiance throughout the day.

The generation of energy delivered by the auxiliary heater is added to the energy delivered by the hot water storage tank to achieve the heat demand of the process. The amount of energy delivered by the auxiliary heater increases at the beginning of the day because the temperature of the water supplied by the storage tank is much lower than the necessary temperature (85°C) for the chiller. In the afternoon, the temperature delivered by the hot water storage tank is almost constant.

Due to the intermittency of solar thermal energy generation and the need to describe the performance of the component throughout the year, a Solar Utilization Factor (SUF) was established. This factor involves the conversion efficiency of

solar energy into its cooling and heating capacity for steam generation, being defined as the relationship between the amount of useful thermal energy provided by the heating or cooling systems and the useful energy delivered by the solar thermal system throughout the year according to Eq. (4):

$$SUF = \frac{\int_0^{8760} Q_{ref} dt + \int_0^{8760} Q_{cond} dt}{A_c \int_0^{8760} H_t dt}, \quad (4)$$

where  $H_t$  is the overall irradiance in the collector surface plane (kWh/m<sup>2</sup>) and  $A_c$  is the gross collection area (m<sup>2</sup>).

## 2.2 Global warming potential assessment

The analysis of the environmental impacts, related to the process of generating steam and cooled water for industrial use resulting from the replacement of conventional equipment present in the sector by the hybrid system proposed in this study, was carried out through a comparative approach using the Life Cycle Assessment (LCA) method. This method is indicated to evaluate the impacts related to all stages, from the extraction of the raw material to the consumption or reuse of a resource linked to a process or product (ABNT ISO/NBR 14040-14044, 2009).

In this study, the Ecoinvent<sup>®</sup> database was used, and the chosen model was the "Allocation at the point of substitution (APOS)". The analysis method selected was the ReCiPe 2016 Hierarchist (Huijbregts et al., 2017) and the GWP is given in kg of CO<sub>2(eq)</sub>/kWh.

The impact of the use of SAHP in meeting the thermal demand for heating is determined by the GWPs for conventional steam generation systems (boilers driven with LPG and firewood). The impact of the use of SAACS in meeting the thermal demand for refrigeration is determined by the GWP of a conventional compression cooling system to provide the supply of cooled water. For comparison, it was also included the GWP with the simultaneous attendance of the thermal demands through the use of a DCHP (Guimarães et. al., 2022).

Thus, the GWP for each process was obtained by the product between the consumption of thermal or electrical energy (in kWh) and the impact factor obtained from the database. In relation to the refrigerants used in SAHP, DCHP and conventional refrigeration systems, the value of the amount of CO<sub>2(eq)</sub> was obtained by multiplying the mass and the specific GWP of the fluid.

## 3. RESULTS AND DISCUSSION

This section presents the performance analysis of the proposed system. It was analyzed under optimized conditions of COP and SUF under different HP condensation temperatures established by Guimarães et. al. (2022). The parameters related to the solar resource were evaluated according to the collection area. In addition, at the end of this section, the GWP related environmental impact for this hybrid system was assessed comparing them to conventional refrigeration and steam generation methods.

### 3.1 Simulation analysis of the system

The reference system analyzed in this study is based on a quasi-static simulation strategy, which consists of the use of hourly average data of 3 consecutive days that are able to represent the significant characteristics of each month and illustrate a forecast of its operational performance. The representative days selected to compose this analysis are 01, 02 and 03 January (from 0 h to 72 h). In Figure 3 is shown the main temperatures of the system for the selected days, in which its operation is outlined.

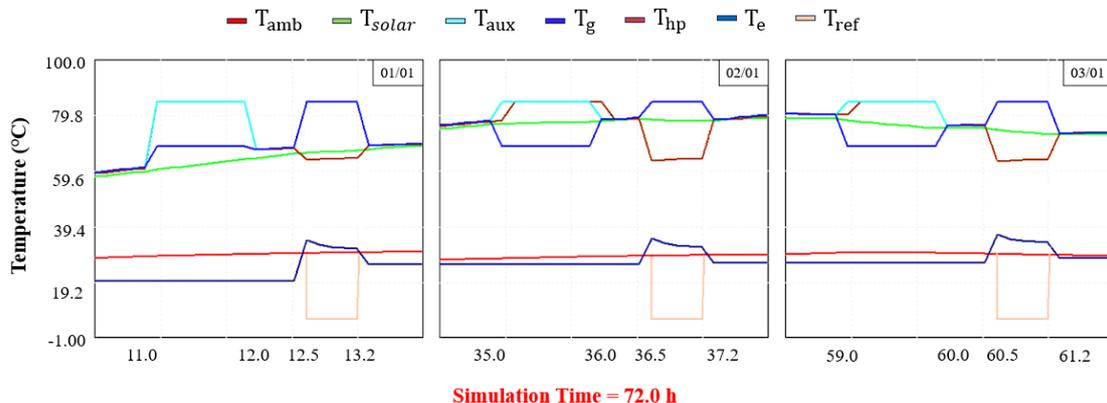


Figure 3. Temperature profile on time during three consecutive days.

Until 11:00 a.m. there is no demand for either heating or cooling, then, the solar thermal system line acts only for recirculation in order to raise the water temperature from the ambient ( $T_{amb}$ ) to the solar storage ( $T_{solar}$ ) temperatures. For the day 01/01, for example, we can observe that the water temperature is increased from ambient temperature to 59.7°C. The heating process by the HP begins at 11:00 a.m. and provides steam for 1 hour. Thus, the operation of the controllers that manage the main circuit feed pump (Type 114-2), the flow diverter valve (Type 11f) and the auxiliary heater (Type 6). At this stage, the water has its temperature increased by the auxiliary heater ( $T_{aux}$ ), starting from  $T_{solar}$  until it reaches the level of 85°C, which is the desired inlet temperature for both the intermediate exchanger ( $T_{hp}$ ) and the generator of the adsorption system ( $T_g$ ). At noon the process is interrupted for approximately 30 min. It can be observed that  $T_{hp}$  decreases, as Types 114-2, 11f and 6 are turned off. Soon after (12:30 pm) the cooling process begins, in which the activation of the feed pump of the cooling tower circuit (Type 114-3), the tower hood (Type 510) and the adsorption chiller pump (Type 909) is evidenced. The last process takes place for about 40 min and soon after the system is shut down. In this step, Types 114-2, 11f and 6 are triggered again in order to meet  $T_g$ . In the chiller system, the cooling tower ( $T_e$ ) is responsible for lowering the water temperature to meet the operating conditions in terms of condenser and adsorber temperature (~20°C). Thus, water has its temperature variation in this circuit around 15°C (35°C - 20°C). During the 40 min of cooling process, the temperature of the process water is lowered by the chiller ( $T_{ref}$ ), starting from  $T_{amb}$  until it reaches the level of 6°C, which is the desired output temperature. The energy flows of the system can also be used to explain the dynamic behavior of the proposed system. Figure 4 shows the trends in energy flows.

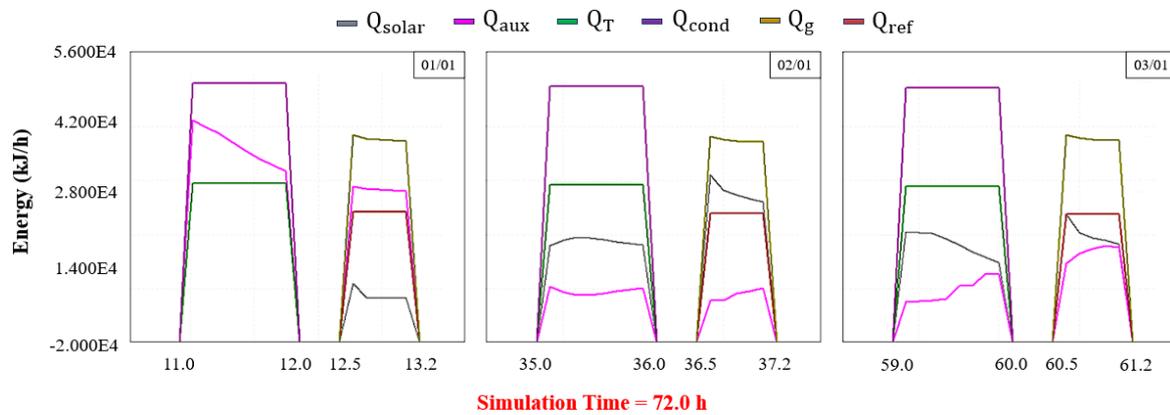


Figure 4. Heat profile on time during three consecutive days.

Solar radiation significantly affects the heat profiles produced by the solar thermal field, since the production of useful energy is directly related to radiation incident under its surface. From Figure 4, simulations for three days showed the complementarity of the energy for auxiliary and solar thermal systems. During the first part of the day, the thermal energy produced by the collectors is fully supplied to HP. Then, during the remaining hours of the day, solar energy provides energy to the adsorption chiller. On January 1st it was observed that in the period of 11:00 am to noon, there was little incidence of radiation, so the thermal heating load was strongly assisted by the auxiliary heater ( $Q_{aux}$ ). This energy demand is necessary to meet the 85°C threshold in both the intermediate heat exchanger ( $Q_T = 28,548$  kJ/h) and the adsorption chiller generator ( $Q_g = 36,000$  kJ/h). After noon, it was observed that there was an increase in the amount of useful energy that comes from the solar thermal system ( $Q_{solar} = 8,040$  kJ/h), so that it contributed with 22.3% in the fulfillment of the thermal load for the generator of the adsorption chiller. On January 2nd and 3rd, the opposite behavior was observed due to the high contribution of the energy stored in the hot water tank. For the heating system,  $Q_{solar}$  contributed 19,042 kJ/h (66.7%) and 18,534 kJ/h (64.9%), respectively. For the cooling system,  $Q_{solar}$  contributed with 26,473 kJ/h (73.5%) and 19,204 kJ/h (53.3%), respectively.

Thus, in order to establish an optimized performance condition, that is, the best possible COP for the proposed system, a relationship can be established between the fraction of available useful energy provided by the solar thermal system on the collection area for each compression HP condensation temperature, as shown in Figure 5. From it, it is observed that there was no significant difference between the solar fractions and the SUF values obtained through the variation of  $T_{cond}$  as the size of the solar field increases. Thus, it is verified that increasing the solar collector area, the  $F_s$  increases and the SUF decreases (Chen et. al., 2019).

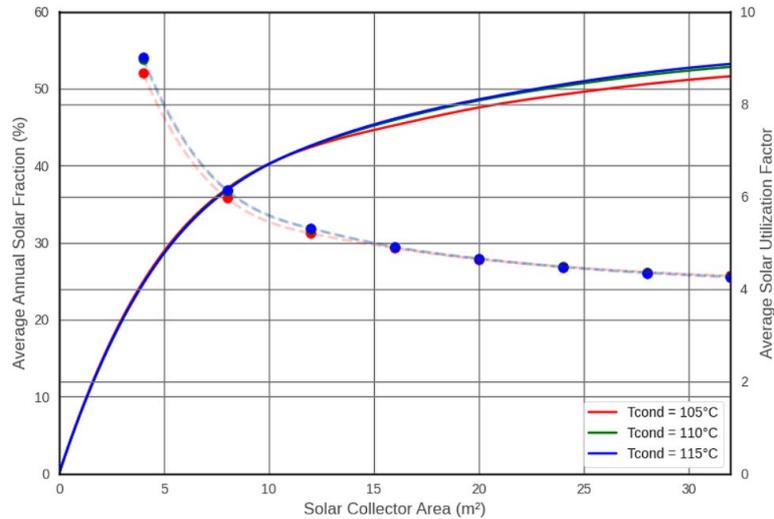


Figure 5. Average annual solar fraction and average solar utilization factor on collector area for different  $T_{cond}$  (105 °C, 110 °C and 115 °C).

Figure 6 shows the annual  $COP_{hp}$  for steam generation under different  $T_{cond}$ . It was observed that the  $COP_{hp}$  decreases as the condensation temperature of the HP cycle increases. This fact is due to the variation in the inlet power of the compressor, as a consequence of the variation of the refrigerant mass flow and its heating capacity. The maximum  $COP_{HP}$  value was at a condensation temperature of 105 °C, whose compressor input power obtained was 5.57 kW, with an efficiency of 39.3%, for the HP cycle. The mass flow of R600a required to the process was 0.049 kg/s, generating a heating capacity of 11.58 kW, and providing steam for a volumetric flow of 0.015 m<sup>3</sup>/h.

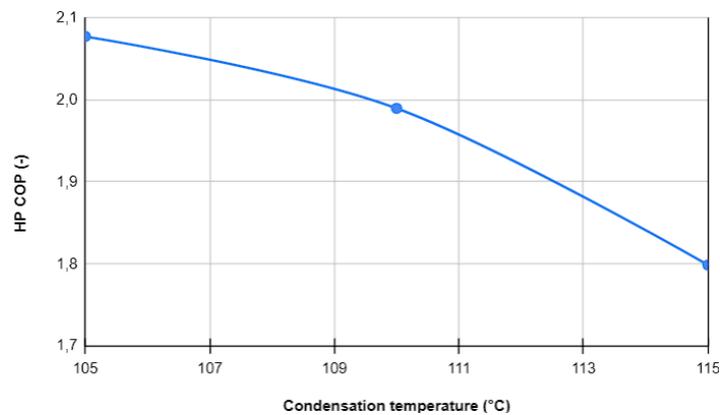


Figure 6. Coefficient of performance on condensation temperature for the HP.

In addition, the average annual  $COP_c$  was 0.41. It was obtained considering a water volumetric flow of 0.4 m<sup>3</sup>/h, with a thermal flow of 6.5 kW, whose hydraulic pump consumption is 0.55 kW. Similar values for the  $COP_c$  are reported in the literature [0.2 – 0.42] (Najeh et. al., 2016).

### 3.2 Environmental analysis of the hybrid system

The environmental impact related to the GWP of each system is presented in Table 2. For calculation purposes, a mass of 1 kg was estimated for the refrigerant R600a for the hybrid system. This mass value was also considered for the low (with R134a) and high (with R600a) cycle of the DCHP presented by Guimarães et. al. (2022). It was compared with the conventional cooling system (with only R134a). The GWP of R134a and R600a over 100 years is given by 1430 and 4, respectively. Both fluids have Ozone Depletion Potential (ODP) equal to zero.

For the adsorption chiller, the gel-water silica pair has GWP and ODP equal to zero. For the conventional refrigeration system, power of 1.5 kW was considered to drive the compressor. This power value was estimated based on typical commercial values of condensing units that meet the cooling capacity required for cold water supply (4,124.2 kCal/h) (Guimarães et. al, 2022).

The annual consumption values of conventional systems were estimated based on the typical efficiency values of the boilers (LPG = 30%; firewood = 70%) (Jugjai and Rungsimuntuchart, 2002) (Chandrasekaran, 2011), and the amount of heat flow required to heating demands of the industrial sector analyzed (11.58 kW). Thus, to meet a heat flow of 11.58 kW using LPG, it is necessary for the burner to consume 38.6 kW (11.58/0.3) in 1 h for 21 days per month in 1 year.

On the other hand, the annual consumption value using wood heaters was estimated based on the Lower Calorific Value (LCV) of the firewood ( $LCV_{wood} = 2400$  kCal/kg) (Alfa Laval Aalborg, 2022), the amount of fuel consumed to meet the demands of the industrial sector analyzed ( $M_{wood} = 15$  kg) and the duration of the heating time in 1h with only one operation per day in a year.

The consumption value of DCHP developed by Guimarães et.al. (2022) was defined based on the sum of the values of the compressor input powers in each cycle (3.04 kW + 5.57 kW). In this case, the authors considered the operating time of 2 h, with only one operation per day, in one year, to meet both processes.

For the hybrid system proposed in this study, the value of the electrical consumption was defined from the values of the HP compressor power (5.57 kW) and the ACS hydraulic pump (0.55 kW), considering that the systems do not operate simultaneously (heating: 1 h; cooling: 40 min), with only 1 operation per day in one year. Regarding the use of solar thermal energy, the collector area was considered 16 m<sup>2</sup> as reported for other similar systems (Mohanraj et. al., 2018) (Mostafa et. al. 2022).

In this way, the emission of CO<sub>2(eq)</sub> in the new system is based on the supply of thermal energy to provide the heating demand (11.58 kW), so that about 52% comes from the electrical heater inside the Storage tank (4.27 kW) and 48% from the solar thermal system (3.93 kW). Whereas the solar system must operate during the entire process time (2 h) in a year.

Table 2. Evaluation of the GWP between the proposed system and conventional systems.

Item	Description	Consumption kWh	CF	Total kg CO <sub>2(eq)</sub>
1	Wood boiler	10,692.6	0.531	5,677.8
2	Refrigeration system	252.0	0.230	1,487.9
		GWP (R134a)	-	(57.9+1,430)
3	Gas boiler	9,727.2	0.314	3,054.3
4	DCHP	6,285.3	0.230	2,879.6
		GWP (R134a + R600a)	-	(1,445.6+1,430+4)
5	SAHP + SAACS	2,033.0	0.230	1,259.6 (467.6+4+30.7+40.4+716.9)
		GWP (R600a)	-	
		133.9	0.230	
		2,868.9	0.0141	
		3,117.1	0.230	

<sup>(1)</sup>CF: Carbon footprint (kg CO<sub>2(eq)</sub>/kWh)

Therefore, it can be assessed that the implementation of the hybrid system (SAHP+SAACS) to meet the demands of heating and cooling effectively contributes to the reduction of about 3,282.6kg CO<sub>2(eq)</sub> per year (decrease of 72.2%) in relation to the conventional cooling system and gas boiler, about 5,906.1kg CO<sub>2(eq)</sub> per year (decrease of 82.4%) in relation to the conventional cooling system and wood boiler, and about 1,620.0kg CO<sub>2(eq)</sub> per year (decrease of 56.2%) in relation to the DCHP system.

#### 4. CONCLUSION

The application of renewable energies to improve industrial manufacturing operations is motivated not only by the potential decarbonization of the sector but also by the desire to maintain a high degree of quality of its products while operating at the highest possible production rates in a sustainable way. Thus, in order to meet the demands of steam generation and cooled water typical of an industrial process, this study addressed simulations of the SAHP system, operating with R600a, integrated into a SAACS system, operating with the silica-gel/water pair, investigating its technical and environmental feasibility of application in a dry tropical savanna climate.

The simulations showed that such demands can be met, since the auxiliary thermal energy generation system is sized to meet the silica-gel regeneration temperatures in the ACS and condensation above 100°C in the HP. In addition, the hybrid system (SAHP+SAACS) obtained the lowest environmental impact, since its FC was lower compared to the other technologies studied (wood and gas boilers together with the cooling system and the systems with the DCHP). Thus, the study of the hybrid system is a favorable alternative when it is desired to reconcile the reduction of energy and environmental costs within the industrial processes of steam and cold-water generation.

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